IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

Balazs Kralik; Michael Goldbach; Paul Dagum

Assignee:

Rapt, Inc.

Title:

METHOD AND APPARATUS FOR ESTIMATION OF COMPONENT

GATING AND SHORTAGE RISK IN MANUFACTURING

OPERATIONS

Application No.:

09/942,249

Filed:

August 29, 2001

Examiner:

Johnna Ronee Loftis

Group Art Unit:

3623

Docket No.:

RAP0008US

Confirmation No.

6169

Austin, Texas August 15, 2006

Mail Stop <u>Amendment</u> Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)(2)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Pursuant to 37 C.F.R. §1.98(a)(2), which was amended on September 21, 2004, to eliminate the requirement for submitting a copy of each U.S. patent or U.S. patent application publication listed in an IDS, no copies of U.S. patents or U.S. patent applications are provided. Copies of any listed foreign patent documents, *pending non-published U.S. patent applications*, and non-patent literature are enclosed.

- 1 - Application No.: 09/942,249

PATENT

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

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2. a representation that a search has been made; or

3. an admission that the information cited herein is, or is considered to be, material

to patentability as defined in § 1.56(b).

Applicant understands that the fee of under 37 CFR §1.17(p) for submission of an

Information Disclosure Statement has been waived as set forth in the Requirement for

Information under 37 C.F.R. § 1.105 in the pending Office Action. If this understanding is in

error, please charge any appropriate fees for submission of this Information Disclosure Statement

(plus any other fees which may be required) to Deposit Account No. 502306.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia, 22313-1450, on August 15, 2006.

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2000/199

Attorney for Applicant

Date of Signature

Respectfully submitted,

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J.S. Departme	nt of Co	mmerce. Patent	and Trademark O	ffice	Att	ty Docket	No ·	Appl. No	. •	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT						entors:	1,-			
(Use several sheets if necessary)						Balazs Kralik, et al.				
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					August 29, 2001			2163		
<u> </u>			U.S	S. Patent Documents						
*Examiner Initial		Document			Class Subclass		C-1-1	Filing Date		
	BA	Number 6,684,193	Date Jan. 27, 2004	Name Thomas A. Chavez et a	,,	Class 705	Subclass 8	Oct. 5, 1999		
	BA	0,004,193	Jan. 27, 2004	Thomas A. Chavez et a	11.	703	8	001. 3,	1999	
								 		
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	l	OTHER	ART (Including	Author, Title, Date, Perti	inent	Pages, E	tc.)	<u> </u>		
	ВВ	Dagum, Paul; Michael Goldbach and Balazs Kralik, U.S. Provisional Patent Application No. 60/213, entitled "Method and Apparatus for the Estimation of Mean Production for Assemble-to-Order Manufacturing Operations," filed June 21, 2000, including 43 pages of specification. (Attorney Doc No.: RAP0006V1US)								
	BC	"Success Story: Sun Microsystems" (brochure), 2 pages, December 2001.								
-	BD	"Data Sheet: Revenue Director" (brochure), 2 pages, September 2005.								
	BE	"Rapt And Actuate Announce Strategic Partnership to Deliver Tailored Trading Intelligence Data" (release), 1 page, 2005, downloaded August 15, 2006 from http://www.actuate.com/corporate/news/pressrelease.asp?prnt=1&ArticleId=605								
-	BF	"Rapt/Actuate Deliver Interactive and Actionable Content" (press release), 2 pages, downloaded Aug 15, 2006 from http://www.taborcommunications.com/dsstar/01/0116/102571.html								
kaminer						Date Co	nsidered			
EXAMINER: tation if not i	Initial i	if reference cons	idered, whether o	r not citation is in conforded to copy of this form with	mano your	ce with M	PEP 609; Dravication to appli	w line througicant.	gh	